

No: 01/2025/BBH-DHDCD-CKCT

Hanoi, April 24, 2025

## MEETING MINUTES

### 2025 ANNUAL GENERAL MEETING OF SHAREHOLDERS VIETNAM BANK FOR INDUSTRY AND TRADE SECURITIES JOINT STOCK COMPANY

- The Business name** : Vietnam Bank for Industry and Trade Securities Joint Stock Company.
- License for establishment and operation** : No. 107/UBCK-GP issued by the State Securities Commission on July 1, 2009 and the amended Establishment and Operation Licenses No. 36/GPDC-UBCK dated June 13, 2019, No. 97/GPDC-UBCK dated October 10, 2022, No. 62/GPDC-UBCK dated August 1, 2023 and No. 103/GPDC-UBCK dated January 17, 2025, all issued by the State Securities Commission.
- Business Registration Number** : 0101078450
- Head office** : 1st to 4th floor, Building N02-T2 Diplomatic Corps Area, Xuan Tao Ward, Bac Tu Liem District, Hanoi.
- Method of holding the 2025 Annual General Meeting of Shareholders (“GMS”/“AGM”)** : In-person.
- Voting method for each issue at the AGM** : In-person voting.
- Election method at the AGM** : In-person voting, cumulative voting.
- Meeting venue** : 4<sup>th</sup> floor, Building N02-T2 Diplomatic Corps Area, Xuan Tao Ward, Bac Tu Liem District, Hanoi.
- Meeting time** : From 07:30 A.M, April 24, 2025.
- Participants of the AGM** :
- Shareholders of Vietnam Bank for Industry and Trade Securities Joint Stock Company (“Vietinbank Securities Company”/“the Company”) whose names appear on the list of shareholders as of the record date (March 27, 2025) provided by Vietnam Securities Depository and Clearing Corporation;
  - Invited guests.



Total number of : 10 delegate, representing 08 shareholders owning shareholders, 112,511,062 shares with voting rights, corresponding to shareholder 112,511,062 votes, accounting for 75.6436% of the total representatives voting shares of the Company.  
attending the meeting  
and total number of  
corresponding votes

## SUMMARY OF THE MEETING:

### A. LEGALITY AND VALIDITY OF THE MEETING:

AGM was officially opened at 08:06 A.M on April 24, 2025.

AGM listened to Mr. Vo An Hai - Head of the Shareholders' Eligibility Verification Committee announced the Report on the results of the shareholder eligibility verification as of 08:06 A.M on April 24, 2025 as follows:

- The total number of shares issued by the Company is: **148,738,311** Ordinary shares.
- The total number of shares with voting rights is: **148,738,311** shares, corresponding to the total of **148,738,311** voting shares of the Company.
- Shareholders invited to attend the General Meeting include: All shareholders of Vietnam Bank for Industry and Trade Securities Joint Stock Company whose names appear on the list of shareholders as of the Record Date (March 27, 2025), provided by Vietnam Securities Depository and Clearing Corporation. Shareholders and authorized representatives of institutional shareholders may attend the meeting in person or authorize their representatives to attend.
- The total number of shareholders, authorized representatives of institutional shareholders, authorized persons (hereinafter referred to as "**delegates**") attending the meeting at 08:06 A.M on April 24, 2025 is: **07** delegates, representing **05** shareholders owning **112,501,560** shares with voting rights, corresponding to **112,501,560** votes, accounting for **75.6372%** of the total voting shares of the Company.

#### In which:

- + Shareholders attending the meeting in person: **02** shareholders;
- + Authorized representatives of institutional shareholders attending the meeting: **03** people;
- + Authorized persons attending the meeting: **02** people.

The above delegates are fully qualified to attend and vote at the AGM.

According to the provisions of the Law on Enterprises No. 59/2020/QH14 and the Charter on Organization and Operation ("**Charter**") of Vietnam Bank for Industry and Trade Securities Joint Stock Company, the 2025 AGM of Vietnam Bank for Industry and Trade Securities Joint Stock Company was legal, valid and eligible to be conducted.



The Organizing Committee continued to register late-arriving delegates at the AGM even after the meeting has opened. Delegates have the right to vote immediately at the AGM upon completing their registration.

## **B. CONTENT AND AGENDA OF THE MEETING:**

### **I. Instructions on Principles and regulations for voting at the Meeting:**

The AGM listened to Mr. Vo An Hai- Head of the Shareholders' Eligibility Verification Committee, present the guidelines on Principles and regulations for voting at the Meeting.

*Voting results: Total number of voting shares of shareholders attending and voting is: 112,511,060 votes. The number of valid votes is 112,511,060 votes, representing 100%; invalid votes are 0 votes, accounting for 0%. The number of agreed votes is 112,511,060 votes, representing 100%; disagreed votes are 0 votes, accounting for 0%; votes with no comment are 0 votes, representing 0%.*

### **II. Election of the Presiding Committee, election of the Secretariat and election of the Vote Counting Committee:**

To preside over the AGM and conduct the elections and vote counting of the meeting, the AGM elected the Presiding Committee and the Vote Counting Committee.

After listening to the list of expected personnel introduced by the Organizing Committee, the AGM voted to approve the Presiding Committee, which consists of the following three (03) members:

1. Mr. Tran Phuc Vinh - Chairman of the Board of Directors (BOD) of the Company – Chairperson;
2. Mr. Vu Duc Manh - Member of the Board of Directors, General Director of the Company - Member;
3. Mr. Dang Anh Hao - Member of the Board of Directors, Deputy General Director of the Company - Member.

*Voting results: Total number of voting shares of shareholders attending and voting is: 112,511,060 votes. The number of valid votes is 112,511,060 votes, representing 100%; invalid votes are 0 votes, accounting for 0%. The number of agreed votes is 112,511,060 votes, representing 100%; disagreed votes are 0 votes, accounting for 0%; votes with no comment are 0 votes, representing 0%.*

The Presiding Committee, on behalf of the AGM, appointed the AGM's Secretariat to record the content and agenda of the AGM, compose the draft of the Minutes and Resolution of the Meeting, including the following members:

1. Ms. Pham Thi Thanh Mai - Company Secretary
2. Mr. Dao Duc Thinh - Risk Management Specialist

Next, the Presiding Committee introduced and the AGM elected the AGM's Vote Counting Committee consisting of the following three (03) members:

1. Mr. Vo An Hai – Head of the Internal Control and Inspection Department – Head of the Board;
2. Mr. Le Huy Tue – Head of IT Department – Member;
3. Ms. Nguyen Thi Thuy Linh – Head of Internal Audit Department – Member;

*Voting results: Total number of voting shares of shareholders attending and voting is: 112,511,060 votes. The number of valid votes is 112,511,060 votes, representing 100%; invalid votes are 0 votes, accounting for 0%. The number of agreed votes is 112,511,060 votes, representing 100%; disagreed votes are 0 votes, accounting for 0%; votes with no comment are 0 votes, representing 0%.*

### **III. Approval of the Meeting Agenda and Regulation on organizing the 2025 Annual General Meeting of Shareholders:**

1. The AGM listened to Mr. Tran Phuc Vinh - Chairman of the Board of Directors of the Company, Chairperson present the draft Meeting Agenda.

The AGM voted to approve the Meeting Agenda.

*Voting results: Total number of voting shares of shareholders attending and voting is: 112,511,060 votes. The number of valid votes is 112,511,060 votes, representing 100%; invalid votes are 0 votes, accounting for 0%. The number of agreed votes is 112,511,060 votes, representing 100%; disagreed votes are 0 votes, accounting for 0%; votes with no comment are 0 votes, representing 0%.*

2. The AGM listened to Mr. Dang Anh Hao, a Member of the Board of Directors and Deputy General Director of the Company, as well as a member of the Presiding Committee, present the draft Regulations on organizing the 2025 Annual General Meeting of Shareholders of the Company.

The AGM voted to approve the Regulations for organizing the 2025 Annual General Meeting of Shareholders.

*Voting results: Total number of voting shares of shareholders attending and voting is: 112,511,060 votes. The number of valid votes is 112,511,060 votes, representing 100%; invalid votes are 0 votes, accounting for 0%. The number of agreed votes is 112,511,060 votes, representing 100%; disagreed votes are 0 votes, accounting for 0%; votes with no comment are 0 votes, representing 0%.*

### **IV. Main content of the AGM:**

#### **1. Proposals submitted to the AGM:**

The AGM listened to the presentation of the Reports and Proposals (attached documents):

- Mr. Dang Anh Hao - Member of the Board of Directors and Deputy General Director of the Company, presented the Board of Directors' (BOD) Report on the Company's business management in 2024, the governance and the

*Handwritten signatures and initials.*



performance of the BOD and its members in 2024, and the business orientation in 2025;

- Ms. Phan Thi Thu Hang - Head of the Company's Board of Supervisors, presented the Board of Supervisors' (BOS) Report on its activities and the results of its assigned duties and powers in 2024, and orientation for 2025;
- Ms. Nguyen Thi Anh Thu - Chief Accountant of the Company, presented the Proposal of 2024 Audited Financial Statements;
- Mr. Vu Duc Manh - Member of the Board of Directors and the General Director of the Company, presented The Proposal of the 2024 Profit Distribution Plan and The Proposal of the 2025 Business Plan, Profit and Dividend;
- Ms. Phan Thi Thu Hang - Head of the Company's Board of Supervisors, presented The Proposal on selection of an Independent auditor to review/audit the Financial Statements and the semi-annual/annual Financial Safety Ratio Report for 2025 of the Company;
- Mr. Vu Duc Manh - Member of the Board of Directors and General Director of the Company, presented The Proposal on the total remuneration, bonuses and other benefits of the Board of Directors, and the salary, remuneration, bonuses, other benefits and operating budget of the Board of Supervisors in 2025; and Proposal on the Plan of increasing charter capital through the issuance of shares for dividend payments of the Company;
- Mr. Tran Phuc Vinh – Chairman of the Board of Directors of the Company, presented The Proposal on dismissal and additional election of the members to the Company's Board of Directors.

## **2. Discussion and approval of Reports and Proposals:**

The Meeting discussed the contents of the Reports and Proposals presented at the AGM. The AGM agreed with the contents presented and explained at the Meeting and had no further comments.

The AGM conducted a vote to approve the contents of the Reports and Proposals to the AGM.

## **3. Voting results for approval of the contents of Report and Proposal submitted to the AGM:**

The AGM listened to Mr. Vo An Hai - Head of the Vote Counting Committee, announce the Minutes of the vote counting for the approval of the Report and Proposal to the AGM:

- Total number of Voting Slips issued: 112,511,062 votes, accounting for 75.6436% of the Company's Voting shares.
- Total number of Voting Slips of shareholders attending and voting ("**the vote**"): 112,511,060 votes, accounting for 75.6436% of the Company's Voting shares.

**Voting results:**

- a. Approval of the Board of Directors' (BOD) Report on the Company's business management in 2024, the governance and the performance of the BOD and its members in 2024, and the business orientation in 2025
- Number of valid votes: 112,511,060 votes, accounting for 100% of shares attending;
  - Number of invalid votes: 0 votes, accounting for 0% of shares attending;
- Voting results: The number of agreed votes is 112,511,060 votes, representing 100%; disagreed votes are 0 votes, accounting for 0%; votes with no comment are 0 votes, representing 0%.*
- b. Approval of the Board of Supervisors' (BOS) Report on its activities and the results of its assigned duties and powers in 2024, and orientation for 2025
- Number of valid votes: 112,511,060 votes, accounting for 100% of shares attending;
  - Number of invalid votes: 0 votes, accounting for 0% of shares attending;
- Voting results: The number of agreed votes is 112,511,060 votes, representing 100%; disagreed votes are 0 votes, accounting for 0%; votes with no comment are 0 votes, representing 0%.*
- c. Approval of the 2024 Audited Financial Statements
- Number of valid votes: 112,511,060 votes, accounting for 100% of shares attending;
  - Number of invalid votes: 0 votes, accounting for 0% of shares attending;
- Voting results: The number of agreed votes is 112,511,060 votes, representing 100%; disagreed votes are 0 votes, accounting for 0%; votes with no comment are 0 votes, representing 0%.*
- d. Approval of the Proposal on the 2024 Profit Distribution Plan
- Number of valid votes: 112,511,060 votes, accounting for 100% of shares attending;
  - Number of invalid votes: 0 votes, accounting for 0% of shares attending;
- Voting results: The number of agreed votes is 112,511,060 votes, representing 100%; disagreed votes are 0 votes, accounting for 0%; votes with no comment are 0 votes, representing 0%.*
- e. Approval of the Proposal on the 2025 Business Plan, Profit, and Dividend
- Number of valid votes: 112,511,060 votes, accounting for 100% of shares attending;
  - Number of invalid votes: 0 votes, accounting for 0% of shares attending;
- Voting results: The number of agreed votes is 112,511,060 votes, representing 100%; disagreed votes are 0 votes, accounting for 0%; votes with no comment are 0 votes, representing 0%.*



- f. Approval of the Proposal on selection of an Independent auditor to review/audit the Financial Statements and semi-annual/annual Financial Safety Ratio report for 2025 of the Company
- Number of valid votes: 112,511,060 votes, accounting for 100% of shares attending;
  - Number of invalid votes: 0 votes, accounting for 0% of shares attending;
- Voting results: The number of agreed votes is 112,511,060 votes, representing 100%; disagreed votes are 0 votes, accounting for 0%; votes with no comment are 0 votes, representing 0%.*
- g. Approval of the Proposal on the total remuneration, bonuses, and other benefits of the Board of Directors, and the salaries, remuneration, bonuses, other benefits, and operating budget of the Board of Supervisors in 2025
- Number of valid votes: 112,511,060 votes, accounting for 100% of shares attending;
  - Number of invalid votes: 0 votes, accounting for 0% of shares attending;
- Voting results: The number of agreed votes is 112,511,060 votes, representing 100%; disagreed votes are 0 votes, accounting for 0%; votes with no comment are 0 votes, representing 0%.*
- h. Approval of the Proposal on the Plan of increasing charter capital through the issuance of shares for dividend payments of the Company
- Number of valid votes: 112,511,060 votes, accounting for 100% of shares attending;
  - Number of invalid votes: 0 votes, accounting for 0% of shares attending;
- Voting results: The number of agreed votes is 112,511,060 votes, representing 100%; disagreed votes are 0 votes, accounting for 0%; votes with no comment are 0 votes, representing 0%.*
- i. Approval of the Proposal on dismissal and additional election of the members to the Company's Board of Directors
- (i1) Approval of the dismissal of Mr. Dang Anh Hao from the position of Member of the Board of Directors of the Company from April 24, 2025:
- Number of valid votes: 112,511,060 votes, accounting for 100% of shares attending;
  - Number of invalid votes: 0 votes, accounting for 0% of shares attending;
- Voting results: The number of agreed votes is 112,511,060 votes, representing 100%; disagreed votes are 0 votes, accounting for 0%; votes with no comment are 0 votes, representing 0%.*
- (i2) Approval of the dismissal of Mrs. Pham Thi Huyen Trang from the position of Independent member of the Board of Directors of the Company from April 24, 2025:



- Number of valid votes: 112,511,060 votes, accounting for 100% of shares attending;
- Number of invalid votes: 0 votes, accounting for 0% of shares attending;

*Voting results: The number of agreed votes is 112,511,060 votes, representing 100%; disagreed votes are 0 votes, accounting for 0%; votes with no comment are 0 votes, representing 0%.*

(i3) Approval of the additional election of members to the Company's Board of Directors with the following contents:

- The number of additional members to be elected to the Company's Board of Directors is 02 (two), including at least 01 (one) Independent Member of the Board of Directors.
- The term of the additional members and the independent members of the Board of Directors shall start from April 24, 2025, to April 9, 2029.
- Number of valid votes: 112,511,060 votes, accounting for 100% of shares attending;
- Number of invalid votes: 0 votes, accounting for 0% of shares attending;

*Voting results: The number of agreed votes is 112,511,060 votes, representing 100%; disagreed votes are 0 votes, accounting for 0%; votes with no comment are 0 votes, representing 0%.*

(i4) Approval of the list of candidates to be submitted to the General Meeting of Shareholders for the additional election to the Company's Board of Directors:

- Number of valid votes: 112,511,060 votes, accounting for 100% of shares attending;
- Number of invalid votes: 0 votes, accounting for 0% of shares attending;

*Voting results: The number of agreed votes is 112,511,060 votes, representing 100%; disagreed votes are 0 votes, accounting for 0%; votes with no comment are 0 votes, representing 0%.*

#### **4. Additional election of members to the Company's Board of Directors:**

The AGM listened to Mr. Dang Anh Hao – Member of the Presiding Committee, presented the Draft Regulation on the additional election of members to the Company's Board of Directors.

The AGM voted to approve the Regulation on the additional election of members to the Company's Board of Directors.

*Voting results: Total number of voting shares of shareholders attending and voting is: 112,511,062 votes. The number of valid votes is 112,511,062 votes, representing 100%; invalid votes are 0 votes, accounting for 0%. The number of agreed votes is 112,511,062 votes, representing 100%; disagreed votes are 0 votes, accounting for 0%; votes with no comment are 0 votes, representing 0%.*



The AGM process with the additional election of members to the Company's Board of Directors.

**5. Results of additional election of members to the Company's Board of Directors:**

The AGM listened to Mr. Vo An Hai, Head of the Vote Counting Committee, announced the Minutes of vote counting for the additional election of members to the Company's Board of Directors. Accordingly:

The following persons were elected as Members of the Board of Directors of Vietnam Bank for Industry and Trade Securities Joint Stock Company with a term from April 24, 2025 to the end of April 09, 2029:

No.	Full name	Elected position	Number of voting shares	Accounting for the percentage of the total voting shares by all shareholders attending and voting
1	Bui Thi Thanh Thuy	Member of the Board of Directors	112,508,544	99.9978%
2	Pham Viet Hung	Independent member of the Board of Directors	112,508,544	99.9978%

**V. Contents approved by the General Meeting of Shareholders:**

1. Approval of the Board of Directors' (BOD) Report on the Company's business management in 2024, the governance and the performance of the BOD and its members in 2024, and the business orientation in 2025 with the approval rate of **100%** of the total agreed votes by all shareholders attending and voting.
2. Approval of the Board of Supervisors' (BOS) Report on its activities and the results of its assigned duties and powers in 2024, and orientation for 2025 with the approval rate of **100%** of the total agreed votes by all shareholders attending and voting.
3. Approval of the 2024 Audited Financial Statements with the approval rate of **100%** of the total agreed votes by all shareholders attending and voting.
4. Approval of the Proposal of the 2024 Profit Distribution Plan with the approval rate of **100%** of the total agreed votes by all shareholders attending and voting.
5. Approval of the Proposal of the 2025 Business Plan, Profit, and Dividend with the approval rate of **100%** of the total agreed votes by all shareholders attending and voting.

6. Approval of the Proposal on selection of an Independent Auditor to review/audit the Financial Statements and semi-annual/annual Financial Safety Ratio report for 2025 of the Company with the approval rate of **100%** of the total agreed votes by all shareholders attending and voting.
7. Approval of the Proposal on the total remuneration, bonuses, and other benefits of the Board of Directors, and the salary, remuneration, bonuses, other benefits, and operating budget of the Board of Supervisors in 2025 with the approval rate of **100%** of the total agreed votes by all shareholders attending and voting.
8. Approval of the Proposal on the Plan of increasing charter capital through the issuance of shares for dividend payments of the Company with the approval rate of **100%** of the total agreed votes by all shareholders attending and voting.
9. Approval of the Proposal on dismissal and additional election of members to the Company's Board of Directors, specifically:
  - 9.1. Approval of the dismissal of Mr. Dang Anh Hao from the position of Member of the Board of Directors of the Company from April 24, 2025 with an approval rate of **100%** of the total agreed votes by all shareholders attending and voting.
  - 9.2. Approval of the dismissal of Mrs. Pham Thi Huyen Trang from the position of Independent Member of the Company's Board of Directors from April 24, 2025 with an approval rate of **100%** of the total agreed votes by all shareholders attending and voting.
  - 9.3. Approval of the additional election of members of the Company's Board of Directors with the following contents:
    - The number of additional members to be elected to the Company's Board of Directors is 02 (two), including at least 01 (one) Independent Member of the Board of Directors.
    - The term of the additional members and the independent members of the Board of Directors shall start from April 24, 2025 to April 9, 2029.with the approval rate of **100%** of the total agreed votes by all shareholders attending and voting.
10. Approval of the additional election of members of Vietnam Bank for Industry and Trade Securities Joint Stock Company's Board of Directors for the term starting from April 24, 2025 to the end of April 09, 2029:
  - Mrs. Bui Thi Thanh Thuy – Member of the Board of Directors.
  - Mr. Pham Viet Hung – Independent member of the Board of Directors.

**VI. Content arising outside the approved Agenda:**

No.

**VII. Approval of the Minutes and Resolutions of the AGM:**

These Minutes and the Draft Resolution of the 2025 Annual General Meeting of Shareholders were read to the entire AGM and voted for approval.





The AGM voted to approve the Minutes and the Draft Resolution of the 2025 Annual General Meeting of Shareholders.

*Voting results to approve the Minutes of the 2025 Annual General Meeting of Shareholders: Total number of voting shares of shareholders attending and voting is: 112,511,062 votes. The number of valid votes is 112,511,062 votes, representing 100%; invalid votes are 0 votes, accounting for 0%. The number of agreed votes is 112,511,062 votes, representing 100%; disagreed votes are 0 votes, accounting for 0%; votes with no comment are 0 votes, representing 0%.*


*Voting results to approve the Draft Resolution of the 2025 Annual General Meeting of Shareholders: Total number of voting shares of shareholders attending and voting is: 112,511,062 votes. The number of valid votes is 112,511,062 votes, representing 100%; invalid votes are 0 votes, accounting for 0%. The number of agreed votes is 112,511,062 votes, representing 100%; disagreed votes are 0 votes, accounting for 0%; votes with no comment are 0 votes, representing 0%.*

This Minutes was prepared at 11:55 A.M on April 24, 2025 immediately after the conclusion of the 2025 Annual General Meeting of Shareholders of Vietnam Bank for Industry and Trade Securities Joint Stock Company.

**SECRETARY**



**Pham Thi Thanh Mai**



**Dao Duc Thinh**

**ON BEHALF OF GENERAL  
MEETING OF SHAREHOLDERS  
CHAIRPERSON**



**Tran Phuc Vinh**

**Attached documents:**

- Report on the results of the shareholder eligibility verification;
- Regulations on organizing the 2025 AGM;
- Reports, Proposals for the 2025 AGM and attached documents;
- Regulation on additional election of members to the Company's Board of Directors;
- Minutes of the vote counting;
- Minutes of vote counting for additional election of members to the Company's Board of Directors;
- Other relevant documents.